## Joel A. Rosenfeld

http://www.thelearningdock.org/

University of South Florida Tampa, Florida E-Mail: rosenfeldj@usf.edu Citizenship: United States of America

**Current Position** 

University of South Florida	Department of Mathematics and Statistics
	Assistant Professor (Tenure Track) (2019-present

# ${\sf Employment}/{\sf Education}$

## Education/Training

Vanderbilt University	Electrical Engineering and Computer Science
	The Verification and Validation for Intelligent
	and Trustworthy Autonomy Laboratory (VeriVital)
	Postdoctoral Researcher (2017-2018)
University of Florida	Mechanical and Aerospace Engineering
	Nonlinear Controls and Robotics (NCR) Laboratory
	Postdoctoral Researcher (2013-2017)
University of Florida	Mathematics Ph.D. (2013)
University of Florida	M.S. (2010), B.S. (2008)

### Previous Employment

Vanderbilt University	Senior Research Scientist Engineer (EECS)	2018-2019
Vanderbilt University	Postdoctoral Researcher (EECS)	2017-2018
University of Florida	Postdoctoral Researcher (MAE)	2013-2017
University of Florida	Adjunct Lecturer (Mathematics)	Summer $2013$
University of Florida	Graduate Teaching Assistant (Mathematics)	2008-2013
Gregory Consulting	Database and Web Programming	2007-2008
The Athena Group, Inc.	Graphic Designer for Ed. Tech. Company	2003-2008

### Dissertation

Title:	Classes of densely defined multiplication and	
	toeplitz operators with applications to extensions of RKHS's	
Adviser:	Dr. Michael T. Jury	
Awarded:	May 2013	

## **Research Interests**

Machine Learning, Reproducing Kernel Hilbert Spaces, Approximation Theory, Cyber-physical Systems Verification, Fractional Order Partial and Ordinary Differential Equations, Operator Theory and Functional Analysis, Optimal Control Theory, Adaptive Dynamic Programming, Densely Defined Operators, and The History of Mathematics.

## Grant Writing Activities

#### Awarded

- NSF Award ID 2027976, \$688,856 (USF Portion: \$229,350), "Collaborative Research: Operator theoretic methods for identification and verification of dynamical systems"
   PI: Joel A. Rosenfeld (University of South Florida [Lead Institution]) Co-PI: Rushikesh Kamalapurkar (Oklahoma State University) Co-PI: Taylor T. Johnson (Vanderbilt University)
- AFOSR Award FA9550-20-1-0127, \$455,222 (USF Portion: \$221,468), "Operator theoretic methods for data-driven control synthesis."
   PI: Rushikesh Kamalapurkar (Oklahoma State University [Lead Institution])
   Co-PI: Joel A. Rosenfeld (University of South Florida)

Other Experience

- ONR, US \$406,879, "Mine Counter Measure Path Planning and Optimal Control in Uncertain and Dynamic Maritime Environments." Wrote one of three aims in the 'Project Description' section.
  PI: Warren E. Dixon. Co-PI: Rushikesh Kamalapurkar.
  Duration: 2016 2019
- NSF ECCS Award #: 1509516, US \$325,543, "Adaptive Dynamic Programming for Uncertain Nonlinear Systems Through Coupling of Nonlinear Analysis & Data-based Learning." Wrote one out of three aims in the 'Project Description' section.
  PI: Warren E. Dixon.
  Duration: 2015 2018

## Publications

#### Books

1. R. Kamalapurkar, P. Walters, J. A. Rosenfeld, W. E. Dixon, "Reinforcement learning for optimal feedback control: A Lyapunov-based Approach," Springer, 2018.

#### Published/Accepted Journal Publications

- 1. J. A. Rosenfeld, W. E. Dixon, "Convergence Rate Estimates for the Kernelized Adams Bashforth Moulton Method for Fractional Order Initial Value Problems," Fractional Calculus and Applied Analysis, *Accepted*.
- 2. P. Deptula, R. A. Licitra, H. Y. Chen, J. A. Rosenfeld, and W. E. Dixon "Online Approximate Optimal Local Path-Planner in the Presence of Mobile Avoidance Regions," IEEE Transactions on Robotics, *To Appear*.

- J. A. Rosenfeld, S. A. Rosenfeld, W. E. Dixon, "A Mesh-free Pseudospectral Approach for Estimating the Fractional Laplacian via Radial Basis Functions," Journal of Computational Physics, Volume 390, 1 August 2019, Pages 306-322
- R. Kamalapurkar, J. A. Rosenfeld, A. Parikh, A. R. Teel, W. E. Dixon, "Invariancelike Results for Nonautonomous Switched Systems," IEEE Transactions on Automatic Control, Vol. 64, No. 2, pp. 614-627 (2019).
- J. A. Rosenfeld, R. Kamalapurkar, W. E. Dixon, "The State Following (StaF) Approximation Method," IEEE Transactions on Neural Networks and Learning Systems, Volume 30 (6), 1716-1730, October 2018. (arXiv:1503.04854)
- J. A. Rosenfeld, B. Russo, W. E. Dixon, "Mittag-Leffler Reproducing Kernel Hilbert Spaces of Entire and Analytic Functions," Journal of Mathematical Analysis and Applications, Vol. 463, No. 2, pp. 576-592 (2018).
- P. Deptula, J. A. Rosenfeld, R. Kamalapurkar, W. E. Dixon, "Approximate Dynamic Programming: Combining Regional and Local State Following Approximations," IEEE Transactions on Neural Networks and Learning Systems, Vol. 29, No. 6, pp. 2154-2166 (2018).
- J. A. Rosenfeld, W. E. Dixon, "Approximating the Caputo Fractional Derivative through the Mittag-Leffler Reproducing Kernel Hilbert Space and the Kernelized Adams-Bashforth-Moulton Method," SIAM Journal on Numerical Analysis, Vol. 53, No. 3, pp. 1201-1217 (2017).
- R. Kamalapurkar, J. A. Rosenfeld, and W. E. Dixon, "Efficient model-based reinforcement learning for approximate online optimal control," Automatica, Vol. 74, pp. 247-258 (2016). (arXiv:1502.02609)
- J. A. Rosenfeld, "The Sarason Sub-Symbol and the Recovery of the Symbol of Densely Defined Toeplitz Operators over the Hardy Space," Journal of Mathematical Analysis and Applications 440 (2016), no. 2, pp. 911–921. (arXiv:1503.01537)
- 11. J. A. Rosenfeld, "Introducing the Polylogarithmic Hardy Space," Integral Equations and Operator Theory 83 (2015), no. 4, pp. 589-600. DOI 10.1007/s00020-015-2256-z
- J. A. Rosenfeld, "Densely Defined Multiplication on Several Sobolev Spaces of a Single Variable," Complex Analysis and Operator Theory 9 (2015), no. 6, pp. 1303-1309. DOI 10.1007/s11785-014-0411-1

Published Conference Proceedings (Refereed)

- 1. J. A. Rosenfeld, R. Kamalapurkar, "Dynamic Mode Decomposition with Control Liouville Operators," 24th International Symposium on Mathematical Theory of Networks and Systems (MTNS 2021). *Accepted*.
- J. A. Rosenfeld, R. Kamalapurkar, B. Russo, T. T. Johnson, "Occupation Kernels and Densely Defined Liouville Operators for System Identification," 58th IEEE Conference on Decision and Control, Accepted.
- W. Xiang, D. Tran, J. A. Rosenfeld, T. T. Johnson, "Reachable Set Estimation and Verification for a Class of Piecewise Linear Systems with Neural Network Controllers," American Control Conference 2018, Accepted.
- P. Deptula, R. Licitra, J. A. Rosenfeld, W. E. Dixon, "Online Approximate Optimal Path-Planner in the Presence of Mobile Avoidance Regions," American Control Conference 2018, Accepted.

- J. A. Rosenfeld, R. Kamalapurkar, W. E. Dixon, "State Following (StaF) Kernel Functions for Function Approximation Part I: Theory and Motivation," Proceedings of the American Control Conference, pp. 1217-1222, 2015.
- R. Kamalapurkar, J. A. Rosenfeld, W. E. Dixon, "State Following (StaF) Kernel Functions for Function Approximation Part II: Adaptive Dynamic Programming," Proceedings of the American Control Conference, pp. 521-526, 2015.
- T. H. Cheng, Z. Kan, J. A. Rosenfeld, W. E. Dixon, "Decentralized formation control with connectivity maintenance and collision avoidance under limited and intermittent sensing," Proceedings of the American Control Conference, pp. 3201-3206, 2014.

Journal Publications Under Review

- 1. X. Li, **J. A. Rosenfeld**, "Fractional Order System Identification with Occupation Kernel Regression," *Under Review*.
- 2. P. V. Hai, J. A. Rosenfeld, "The Gradient descent method from the perspective of fractional calculus," *Under Review*.
- 3. P. V. Hai, J. A. Rosenfeld, "Weighted Composition Operators on the Mittag-Leffler spaces of Entire Functions," *Under Review*.
- 4. J. A. Rosenfeld, R. Kamalapurkar, L. F. Gruss, T. T. Johnson, "Dynamic Mode Decomposition for Continuous Time Systems with the Liouville Operator," *Under Review*.
- 5. J. A. Rosenfeld, B. Russo, R. Kamalapurkar, T. T. Johnson, "The Occupation Kernel Method for Nonlinear System Identification," *Under Review*.
- 6. J. A. Rosenfeld, P. Musau, A. A. Wild, T. T. Johnson, "An Accurate Iterative Reachable Set Over-approximation Method for Nonlinear Continuous Systems," *Under Review*.
- 7. T. H. Cheng, Z. Kan, J. A. Rosenfeld, A. Parikh, and W. E. Dixon, "Network Connectivity and Collision Avoidance Under Intermittent Feedback," *Under Review*.

Conference Publications Under Review

- 1. J. A. Rosenfeld, R. Kamalapurkar, L. F. Gruss, T. T. Johnson, "On Occupation Kernels, Liouville Operators, and Dynamic Mode Decomposition," *Under Review*.
- 2. J. A. Rosenfeld, R. Kamalapurkar, B. P. Russo, "Theoretical Foundations for Higher Order Dynamic Mode Decompositions," *Under Review*.
- 3. B. P. Russo, R. Kamalapurkar, D. Chang, J. A. Rosenfeld, "Motion Tomography via Occupation Kernels," *Under Review*.

#### **Reviewer Activity**

- Engineering Computations (1 manuscript)
- International Conference on Physics, Mathematics and Statistics (1 manuscript)
- Applied Mathematics Letters (1 manuscript)
- IEEE Transactions on Automatic Control (2 manuscripts)
- Journal of Mathematical Analysis and Applications (1 manuscript)
- Neurocomputing (1 manuscript)

- New York Journal of Mathematics (1 manuscript)
- Conference on Decision and Control (several manuscripts)
- American Control Conference (several manuscripts)

## Technology Centered Skills

#### Programming

- Working knowledge of C, C++, Java, PHP, and MATLAB programming.
- Working knowledge of MySQL, and PostgreSQL.

#### Design

- Six years of professional experience using Photoshop, Illustrator, Inkscape, and 3DS Max.
- Expert knowledge in HTML, LaTeX, LyX, CSS, and Javascript.

## Workshops

### American Control Conference 2020 Workshop [Conducted Virtually via Zoom]

"Exploring Interplay between Dynamical Systems and Function Spaces: A Unifying Presentation of Dynamics Mode Decomposition and Occupation Measures" Co-organized with Dr. Rushikesh Kamalapurkar

## Invited Talks

- 2TART Seminar Series Title: "New Hilbert Space methods in Dynamic Mode Decomposition." https://youtu.be/hlcWzJVM6n4 June 24, 2020
- Florida Atlantic University (Mathematics Department) Title: "Interfacing Occupation Kernels with Dynamic Mode Decomposition for the Analysis of Continuous Time Systems." November 2019.
- University of South Florida Interdisciplinary Data Science Consortium Title: "Interfacing Occupation Kernels with Dynamic Mode Decomposition for the Analysis of Continuous Time Systems." October 2019.
- University of South Florida (Mathematics Department) Title: "Occupation Kernels and Learning in Dynamical Systems." May 2019.
- Vanderbilt University EECS Department Title: "Fractional Order Dynamical Systems and Numerical Methods." April 2017.

• Alachua Astronomy Club (AAC)

Title: "On the Shoulders of Giants: Models of the Solar System." February 14, 2017.

• Georgia Tech Analysis Seminar Title: "Fractional Calculus, Reproducing Kernel Hilbert Spaces, and Approximation Theory."

October 19, 2016.

#### • University of South Florida Analysis Seminar

Title: "The Sarason Sub-Symbol and Unbounded Toeplitz Operators." April 22, 2016.

#### • Graduate Mathematics Association (GMA)

Title: "An Introduction to Reproducing Kernel Hilbert Spaces and a Few Applications." February 5th, 2014.

## **Conference** Participation

- AMS Southeast Sectional Meeting 2020 University of Florida Contributed Talk: Operator Theoretic connections to the study of Data Science on Nonlinear Dynamical Systems. Fall 2019. Gainesville, FL.
- Southeast Analysis Meeting (SEAM 2019) University of Alabama Contributed Talk: Incorporating Dynamical Systems into Reproducing Kernel Hilbert Spaces. Spring 2019. Tuscaloosa, AL.
- Joint Mathematics Meetings (JMM 2017) Contributed Talk: A Mesh-free Approach to Estimating the Fractional Laplacian via Radial Basis Functions. Spring 2017. Atlanta, GA.
- Neuroscience 2016 Society for Neuroscience Fall 2016. San Diego, CA.
- The 2016 Gainesville International Number Theory Conference (Alladi 60) In Honor of Krishna Alladi's 60th Birthday - University of Florida Role: Session Chair. Spring 2016. Gainesville, FL.
- Southeast Analysis Meeting (SEAM 2016) University of South Florida Contributed Talk: The Caputo fractional derivative and the Mittag-Leffler RKHS Spring 2016. Tampa, FL.
- The Society for Pyschophysiological Research (SPR 2015) Fall 2015. Seattle Westin Hotel. Seattle, WA.
- American Control Conference (ACC 2015) SIAM Member Contributed Talk: State Following (StaF) Kernel Functions for Function Approximation Part I: Theory and Motivation Summer 2015. Palmer House Hilton. Chicago, IL.
- Southeast Analysis Meeting (SEAM 2015) University of Georgia Contributed Talk: A look at the Polylogarithmic Hardy Space

Spring 2015. Athens, GA.

- Southeast Analysis Meeting (SEAM 2014) Clemson University Contributed Talk: *The Sarason Sub-Symbol and Toeplitz Operators* Spring 2014. Clemson, SC.
- Great Plains Operator Theory Symposium (GPOTS 2013) UC Berkeley Contributed Talk: Densely Defined Multiplication Operators with Applications to Extensions of RKHS's Spring 2013. Berkeley, CA.
- Southeast Analysis Meeting (SEAM 2013) Virginia Tech Contributed Talk: Densely Defined Multiplication Operators with Applications to Extensions of RKHS's Spring 2013. Blacksburg, VA.
- Joint Mathematics Meetings (JMM 2013) Spring 2013. San Diego Convention Center. San Diego, CA.
- Ramanujan 125 University of Florida Fall 2012. Gainesville, FL.
- Southeast Analysis Meeting (SEAM 2012) University of Alabama Spring 2012. Tuscaloosa, AL.
- Southeast Analysis Meeting (SEAM 2011) University of Florida Spring 2011. Gainesville, FL.
- Florida Analysis Seminar (FLOAS) Florida Southern College Spring 2011, Fall 2011, Summer 2012. Lakeland, FL.

## Teaching

Instructor of Record

- Summer 2013 MAC2313 Calculus 3
- Summer 2012 MAC2312 Calculus 2
- Summer 2011 MAC2311 Calculus 1
- Spring 2011 MAC2312 Calculus 2
- Fall 2011 MAC1140 Precalculus
- Summer 2010 MAC1147 Precalculus and Trigonometry

#### Graduate Teaching Assistant

- Spring 2013 Calculus 1 (2 Sections)
- Fall 2012 MAC2313 - Calculus 3 (2 Sections) MGF1106 - Math for Liberal Arts Majors (1 Section)
- Spring 2012 MAC2312 Calculus 2 (2 Sections)
- Fall 2011 MAC2312 Calculus 2 (3 Sections)
- Spring 2010 MAC2311 Calculus 1 (2 Sections)

- Fall 2009 MAC1105 College Algebra (3 Sections) (Online with Elluminate)
- Spring 2009 MGF1106 - Math for Liberal Arts Majors (2 Sections) MAC1105 - College Algebra (1 Section) (Online with Elluminate)
- Fall 2008 MAC1147 Precalculus and Trigonometry (3 Sections)
- Fall 2007 MAC2311 Calculus 1 (2 Sections)

#### Other Duties

- Calculus 2 Lecture Notes I wrote 150 pages of lecture notes for the Summer 2012 class.
- Edited "Applied Fourier Analysis" I helped edit Dr. Tim Olson's forthcoming book on Fourier Analysis.

#### References

- Dr. Michael T. Jury Ph.D. Adviser Associate Professor of Mathematics - University of Florida http://people.clas.ufl.edu/mjury/ mjury@ufl.edu (352) 294-2310
- Dr. Warren E. Dixon Postdoctoral Adviser Professor of Mechanical and Aerospace Engineering - University of Florida http://ncr.mae.ufl.edu/index.php?id=people wdixon@ufl.edu (352) 846-1463
- Dr. Taylor T. Johnson Postdoctoral Adviser Assistant Professor of Electrical Engineering and Computer Science - Vanderbilt University http://www.taylortjohnson.com/ taylor.johnson@vanderbilt.edu (615) 875-9057
- Dr. Scott McCullough Professor of Mathematics - University of Florida http://people.clas.ufl.edu/sam/ sam@ufl.edu (352) 294-2321
- Dr. Rushikesh Kamalapurkar Assistant Professor - Oklahoma State University https://scc.okstate.edu/ rushikesh.kamalapurkar@okstate.edu (405) 744-2674
- Dr. Kwailee Chui *Teaching Reference* Lecturer - University of Florida http://people.clas.ufl.edu/chui/

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